



05/31/02 3382-55827 82267 148491.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

D. J. 5/26/02  
HG PATENT  
IDS w/Refers

In the application of: Andrew V. Kadatch  
Application No. 09/771,371

Art Unit: 2641

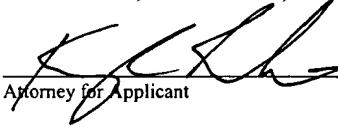
Filed: January 26, 2001

For: QUANTIZATION LOOP WITH HEURISTIC  
APPROACH

Examiner:

Date: May 31, 2002

I hereby certify that this paper and the documents referred to  
as being attached or enclosed herewith are being deposited  
with the United States Postal Service on May 31, 2002 as First  
Class Mail in an envelope addressed to: COMMISSIONER  
FOR PATENTS, WASHINGTON, D.C. 20231.

  
Attorney for Applicant

  
RECEIVED

JUN 24 2002

Technology Center 2600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)(3)

COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicant respectfully requests that these documents be listed as references cited on the issued patent.

Applicant filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicant to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

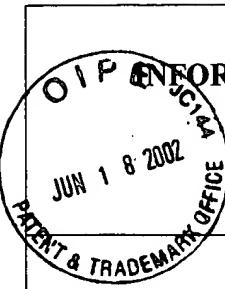
Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

  
Kyle B. Rinehart  
Registration No. 47,027

One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, Oregon 97204  
Telephone: (503) 226-7391  
Facsimile: (503) 228-9446  
cc: Client (148491.1)  
Docketing

 <b>INFORMATION DISCLOSURE STATEMENT</b> <b>BY APPLICANT</b>				Docket: 3382-55827	App: 09/771,371		
				Applicant: Kadatch			
				Filed: January 26, 2001	<b>RECEIVED</b> Art Unit: 2641 <b>JUN 24 2002</b> <b>Technology Center 2600</b>		
<b>U.S. PATENT DOCUMENTS</b>							
Init.*		Number	Date	Name	Class	Sub	Filed
		5,686,964	11.11.97	Tabatabai et al.	348	420	
		5,845,243	12.01.98	Smart et al.	704	230	
		5,995,151	11.30.99	Naveen et al.	348	420	
		6,115,689	09.05.00	Malvar	704	503	
<b>OTHER DOCUMENTS</b>							
		ISO/IEC 11172-3, Information Technology -- Coding of Moving Pictures and Associated Audio for Digital Storage Media at Up to About 1.5 Mbit/s -- Part 3: Audio, 154 pp. (1993).					
		Dolby Laboratories, "AAC Technology," 4 pp. [Downloaded from the web site aac-audio.com on World Wide Web on November 21, 2001.]					
		Srinivasan et al., "High-Quality Audio Compression Using an Adaptive Wavelet Packet Decomposition and Psychoacoustic Modeling," <i>IEEE Transactions on Signal Processing</i> , Vol. 46, No. 4, pp. 1085-93 (April 1998).					
		Caetano et al., "Rate Control Strategy for Embedded Wavelet Video Coders," <i>Electronics Letters</i> , pp. 1815-17 (October 14, 1999).					
		Ribas Corbera et al., "Rate Control in DCT Video Coding for Low-Delay Communications," <i>IEEE Transactions on Circuits and Systems for Video Technology</i> , Vol. 9, No. 1, pp. 172-85 (February 1999).					
		Fraunhofer-Gesellschaft, "MPEG Audio Layer-3," 4 pp. [Downloaded from the World Wide Web on October 24, 2001.]					
EXAMINER:				DATE			
*Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.							

<b>OPTIONAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			Docket: 3382-55827	App: 09/771,371
			Applicant: Kadatch	
			Filed: January 26, 2001	Art Unit: 2641
<b>OTHER DOCUMENTS</b>				
			Fraunhofer-Gesellschaft, "MPEG-2 AAC," 3 pp. [Downloaded from the World Wide Web on October 24, 2001.] <span style="float: right;">RECEIVED JUN 24 2002 Technology Center 2600</span>	
<b>EXAMINER:</b>			<b>DATE:</b>	
*Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.				